

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

FREEMAN ET AL.

Serial No.: 09/815,377

Filed: March 21, 2001

Atty. File No.: 4154-11-CIP

For: "TILT FOCUS METHOD AND  
MECHANISM FOR AN OPTICAL  
DRIVE"

Commissioner of Patents  
Box Missing Parts  
Washington, D.C. 20231

Group Art Unit: 2151

Examiner:

SUBMISSION OF MISSING  
PARTS

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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE  
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37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS  
ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: Lori R. Arellano

SIGNATURE: Lori R. Arellano

Dear Sir:

In response to the Notice to File Missing Parts mailed May 24, 2001, in connection with the above-identified application, enclosed herewith please find a Declaration and Power of Attorney. Please note that the Assignment documents have been submitted to the Assignment Branch under separate cover on this same date.

Also enclosed please find a check for \$858.00, which includes payment of the filing fee in the amount of \$728.00 reflecting large entity status, and the amount of \$130.00 payment of the surcharge. Please credit any overpayment or debit any underpayment to Deposit Account No. 19-1970. A copy of the Notice to File Missing Parts is also enclosed.

Please address all future correspondence to the undersigned attorney at the address below.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: Todd P. Blakely

Todd P. Blakely  
Registration No. 31,328  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: June 14, 2001



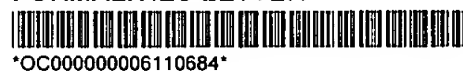
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,377	03/21/2001	Robert David	4154-11-CIP

CONFIRMATION NO. 3940

## FORMALITIES LETTER



\*OC000000006110684\*

TODD P. BLAKELY, ESQ,  
SHERIDAN ROSS P.C.  
Suite 1200  
1560 Broadway  
Denver, CO 80202-5141

Date Mailed: 05/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/19/2001 ETULU1 00000081 09815377

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	18.00 OP
03 FC:105	130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.
  - \$18 for 1 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 858.**

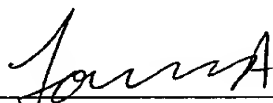
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, appearing to be "J. A. Smith", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE